

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al. )  
)  
For: METHOD AND APPARATUS ) Examiner: Not Yet Assigned  
FOR PROCESSING CREDIT )  
CARD TRANSACTIONS )  
)  
)  
Serial No.: 09/316,546 ) Group Art Unit: 2761  
)  
Filing Date: May 21, 1999 ) Docket No.: WD2-99-012

Assistant Commissioner for Patents  
Washington, D.C. 20231



## TRANSMITTAL LETTER

Sir:

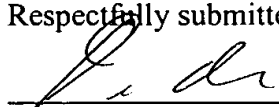
Applicants hereby submit the following documents for the above-identified patent application:

1. Revocation and Appointment of Power of Attorney;
2. Copy of Executed Assignment document; and
3. Return Receipt Postcard.

The Commissioner is authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

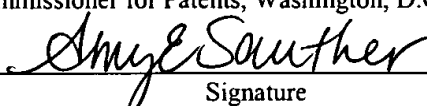
July 27, 1999  
Date

  
Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905

## Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on July 27, 1999.

Amy E. Sauter  
Name of Person Making Deposit

  
Signature

July 27, 1999  
Date

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JUL 30 1999  
TC 2700 MAIL ROOM

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jay S. Walker et al. )

For: METHOD AND APPARATUS )  
FOR PROCESSING CREDIT )  
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Examiner: Not Yet Assigned

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Commissioner of Patents and Trademarks  
Washington, D.C. 20231



**REVOCATION AND APPOINTMENT OF POWER OF ATTORNEY**

Sir:

Walker Digital Corporation, the sole assignee and owner of the entire right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney and hereby appoints Dean Alderucci (PTO Reg. No. 40,484), Patrick J. Buckley (PTO Reg. No. 40,928), Steven M. Santisi (PTO Reg. No. 40,157), and Kurt Maschoff (PTO Reg. No. 38,235) as attorneys of record, all of Walker Digital Corporation located at One High Ridge Park, Stamford, Connecticut 06905-1326, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all written correspondence to:

Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905-1326  
Phone (203) 905-6200  
Fax (203) 595-8266

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JUL 30 1999

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**Certificate Under 37 C.F.R. § 3.73(b)**

Walker Digital Corporation, a corporation organized and existing under the laws of the state of Connecticut, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application identified above.

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_,  
Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_,  
Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_,  
Frame \_\_\_\_\_, or for which a copy thereof is attached.
4. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_,  
Frame \_\_\_\_\_, or for which a copy thereof is attached.

C. ☐ Copies of the assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application identified above or any patent issuing thereon.

Walker Digital Corporation

Dated: 27 / JULY / 1999

By   
Jay S. Walker,  
President of Walker Digital Corporation,  
as General Partner of Walker Asset  
Management Limited Partnership

**Assignment of Right, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
WD2-99-012

*This is an Assignment of the following rights, title and interest: (check all that apply):*

☒ *United States of America rights, title and interest in the invention*

☒ *Foreign rights, title and interest in the invention*

☐ *United States Patent Application Serial No.* \_\_\_\_\_

*Date of Execution:* \_\_\_\_\_

*Date of Filing:* \_\_\_\_\_

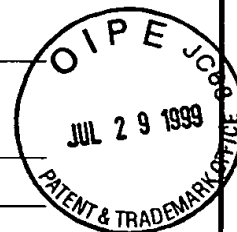
☐ *United States Provisional Patent Application Serial No.* \_\_\_\_\_

☐ *United States Patent No(s).* \_\_\_\_\_

☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_

☐ *Other (specify)* \_\_\_\_\_

**COPY**



**Title of the Invention**

METHOD AND APPARATUS FOR PROCESSING CREDIT CARD TRANSACTIONS

**Inventors (assignors)**

<i>Name</i>	<i>Address</i>
Jay S. WALKER	
Magdalena MIK	
Stephen C. TULLEY	
Daniel E. TEDESCO	
Andres S. VAN LUCHENE	

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JUL 30 1999  
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**Assignee**

<i>Name</i>	<i>Address</i>
Walker Digital Corporation	One High Ridge Park, Stamford, CT 06905

**Assignment of Right, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
WD2-99-012

*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*

*Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)*

<i>Name</i>	<i>Signature/Date</i>
Jay S. WALKER	
Magdalena MIK	
Stephen C. TULLEY	
Daniel E. TEDESCO	
Andres S. VAN LUCHENE	

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
WD2-99-012

**Notarization**

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 14<sup>th</sup> day of MAY, in the year 1999  
at Stamford



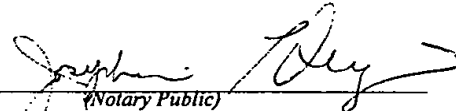
(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Jay S. WALKER  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 14<sup>th</sup> day of MAY, in the year 1999

JOSEPHINE L. DEIGNAN  
NOTARY PUBLIC  
MY COMMISSION EXPIRES JUNE 30, 2001



(Notary Public)

Executed this 18<sup>th</sup> day of MAY, in the year 1999  
at Stamford



(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Magdalena MIK  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 18<sup>th</sup> day of MAY, in the year 1999

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 1999



(Notary Public)

Executed this 17<sup>th</sup> day of MAY, in the year 1999  
at Stamford



(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Stephen C. TULLEY  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 17<sup>th</sup> day of MAY, in the year 1999

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 1999



(Notary Public)

MARGARET N. KASWER  
NOTARY PUBLIC

MY COMMISSION EXPIRES DEC. 31, 1999

Assignment of Right, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.  
WD2-99-012

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 17<sup>th</sup> day of MAY, in the year 1999  
at Stamford

  
(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Daniel E. TEDESCO  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 17<sup>th</sup> day of MAY, in the year 1999

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 1999

  
(Notary Public)

Executed this 18<sup>th</sup> day of MAY, in the year 1999  
at Stamford

  
(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Andres S. VAN LUCHENE  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 18<sup>th</sup> day of MAY, in the year 1999

MARGARET N. KASWER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES DEC. 31, 1999

  
(Notary Public)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_  
at \_\_\_\_\_

\_\_\_\_\_  
(Signature of Inventor)

State of \_\_\_\_\_

County of \_\_\_\_\_

Before me personally appeared \_\_\_\_\_  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_

\_\_\_\_\_  
(Notary Public)